

## Tarik Umar

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Rice University  
Graduate School of Business  
1900 Rice Boulevard  
Houston, TX 77005

## Academic Appointments

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**Rice University, Jesse H. Jones Graduate School of Business** 2017-Present  
Assistant Professor of Finance (tenure-track)

## Education

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**The University of Chicago Booth School of Business**  
PhD and MBA in Finance 2012-2017  
Committee: Lubos Pastor (co-chair), Kelly Shue (co-chair), Amir Sufi, Richard Thaler

**Harvard College**  
BA in economics 2006-2010  
Allyn A. Young prize (\$500) for top thesis in economics  
Thomas T. Hoopes prize (\$4,000) for outstanding thesis

## Working Papers

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**Regulator Jurisdiction and Investment Adviser Misconduct** w Alan Kwan & Ben Charoenwong  
Status: Conditional Acceptance, American Economic Review  
Presentations: 2018 AFA, 2018 CICF, SEC, Young Finance Scholars,  
SEC's 5th Conference on Financial Market Regulation  
Best Paper Award, Asia-Pacific CFA conference  
Yihong Xia Best Paper Award (\$1,000), 2018 CICF

**Do Hedge Funds Profit from Public Information?** w Alan Crane & Kevin Crotty  
Status: Submitted  
Presentations: Q-Group, 2019 AFA, 2018 NFA, 7th Luxembourg Asset Management Summit,  
Midwest Finance Association, Rice, University of Houston, University of Delaware,  
Cubist Group, Laurion Capital, Blackstone Alternative Asset Management,  
Qt Fund, Quantitative Management Associates, AQR Capital  
Media mention: CNBC, Matt Levine's Money Stuff, BarclayHedge

**Complexity Aversion when *Seeking Alpha*** (Job Market Paper)  
Status: Submitted  
Presentations: 2018 AFA, BlackRock, SEC, Columbia's News & Finance Conference, 12 seminars  
Best PhD Paper Award (\$2,000), 2016 Colorado Finance Summit  
Finalist for BlackRock Applied Research Award  
Media mention: WSJ, Matt Levine's Money Stuff

## Work in Progress

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**Valuing Marketability: Evidence from a RDD** w Emmanuel Yimfor & Rustam Zufarov  
**How the Government Doles out Opportunity?** w Jefferson Duarte & Emmanuel Yimfor  
**Incentivizing Investments in Poor Communities: Gentrifying or Enabling**

## Invited Conferences, \* denotes presenter

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American Finance Association\* 2019  
7th Luxembourg Asset Management Summit 2018

Northern Finance Association	2018
Fifth Annual Conference on Financial Market Regulation*	2018
Q-Group*	2018
Columbia's News & Finance Conference*	2018
Midwest Finance Association*	2018
Young Finance Scholars Consortium*	2018
CICF	2018
American Finance Association*	2018
Asia-Pacific CFA Conference	2017
Colorado Finance Summit*	2016

## Invited Seminars

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2018: University of Houston, Cubist Group, Laurion Capital, Blackstone Alternative Asset Management, Qt Fund, Quantitative Management Associates, AQR Capital	
2017: Boston College, Dartmouth, Rice, Securities and Exchange Commission, Texas A&M, University of California San Diego, University of Oklahoma, University of Oregon, University of Virginia, Vanderbilt, Wharton	

## Academic Service

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Referee for Review of Financial Studies x 2	
Referee for Journal of Financial and Quantitative Analysis x 1	
Referee for Journal of Banking and Finance x 3	
Referee for Journal of Empirical Finance x 1	
Co-organizer, Seminar Series at Rice	2019
Interviewer at AFAs	2018, 2019
Presented introductory finance class to prospective EMBA students	2018
Member of "Faculty Wall" for Full-Time MBA admits	2018

## Discussant

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Sophisticated Investors and Market Efficiency by Chen et al. (MFA)	2018
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## Teaching Experience

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<b>Assistant Professor</b> , Rice University	
Core Finance, Executive MBA	2019
Core Finance, Weekend MBA	2019
Core Finance, Evening MBA	2018
Financial Markets, Full-time MBA	2018
<b>Teaching Assistant</b> , The University of Chicago Booth School of Business (Executive MBA)	
Applied Corporate Finance at Hong Kong and London Campuses (Per Stromberg)	2016
Marketing Strategy at Chicago Campus (Pradeep Chintagunta)	2016
Outstanding TA Award (\$2,000), elected top TA at Hong Kong Campus	2017
Outstanding TA Award (\$2,000), elected top TA at London Campus	2017
<b>Teaching Assistant</b> , The University of Chicago Booth School of Business (Full-time MBA)	
Analytics of financial crises (Anil Kashyap)	2015

## Industry Experience

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<b>Mergers &amp; Acquisitions Analyst</b> , Peter J. Solomon & Company	(New York City) 2010-2012
<b>Mergers &amp; Acquisitions Intern</b> , Citigroup	(New York City) 2009
<b>Analyst</b> , Greenwich Roundtable on Best Practices in Alternatives Investing	(Greenwich, CT) 2008

## Personal Information

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Citizenship: USA

Interests: Value investing, traveling, running

Languages: Spanish (intermediate)